

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

---

**Tuckman, Bruce**

Office of the Chief Economist, Commodity Futures Trading Commission

Date of Appointment: 09/05/2017

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Tuckman, Bruce [electronically signed on 10/04/2017 by Tuckman, Bruce in Integrity.gov]**

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ LoPresti, Heather J, Certifying Official [electronically signed on 11/06/2017 by LoPresti, Heather J in Integrity.gov]**

---

Other review conducted by

---

U.S. Office of Government Ethics Certification

---

Data Revised 11/06/2017

Data Revised 10/31/2017

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	NYU Stern School of Business	New York, New York	University/College	Clinical Professor of Finance	9/2012	Present
2	CME Group	Chicago, Illinois	Corporation	Member of a Risk Committee	3/2011	7/2017
3	Brevan Howard	London, Outside U.S.	Hedge Fund	Consultant	4/2015	9/2015
4	Harry and Andrew H. Rosenthal Foundation Inc.	New York, New York	Non-Profit	Treasurer	5/2005	Present
5	The Katherine and Bruce Tuckman Charitable Fund (Vanguard Charitable)	Warwick, Rhode Island	Non-Profit	Account Advisor	10/2000	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	NYU Stern School of Business	N/A		Salary	\$280,000
2	CME Group	N/A		Participation in 8 risk committee meetings at \$3,000 each	\$24,000
3	Brevan Howard	N/A		Consulting fees	\$48,750
4	IRA U.S. Bank Account (Cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
5	Liberty Fund Conference - June 10-11, 2016	N/A		Honorarium	\$900

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Royalties on "Fixed Income Securities: Tools for Today's Markets," Wiley Publications (value not readily ascertainable)	N/A		Rent or Royalties	\$50,001 - \$100,000
7	Vanguard Convertible Securities (IRA)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
8	Vanguard Short-Term Investment Grade - VFSTX (IRA)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9	Vanguard High-Yield Corp fund - VWEHX (IRA)	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
10	Vanguard Intermediate Term Investment Grade fund (IRA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
11	NYU Retirement: Vanguard High Yield Corp	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
12	NYU Retirement: Vanguard Institutional Index - VINIX	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
13	NYU Retirement: Vanguard International Growth	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	NYU Stern School of Business	New York, New York	Portable tuition benefit for dependents	9/2015
2	NYU Stern School of Business	New York, New York	Leave of absence (unpaid)	9/2017

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	NYU Stern School of Business	New York, New York	Clinical Professor of Finance
2	CME Group	Chicago, Illinois	Member of a risk committee
3	Brevan Howard	London, Outside U.S.	Consulting services

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Yale University	N/A		salary	
2	Vanguard Convertible Securities (IRA)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
3	Western Asset Short Duration High Income (IRA)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
4	Yale Retirement: Vanguard Institutional Index	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Savings Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2	US Savings Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3	US Bank Accounts #1 (cash)	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	US T-bills	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
5	Philip Morris International	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
6	SPDR S&P 500	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
7	Artisan International Value Investor	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
8	Royal Dutch Shell ADR	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
9	Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
10	Express Scripts Holding Co.	N/A	\$15,001 - \$50,000	Capital Gains Dividends	None (or less than \$201)
11	FedEx Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
12	Fortune Brand Home	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13	General Electric Co	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
14	Verizon Communications Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
15	SPDR S&P 500	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
16	US Treasury TINT (Treasury Stripped Interest Component)	N/A	\$1,001 - \$15,000	Capital Gains Interest	None (or less than \$201)
17	Baltimore MD 5s 7/2022	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Boston MA Wtr & Swr 4.25s 11/2022	N/A	\$15,001 - \$50,000	Interest Capital Gains	\$201 - \$1,000
19	Chicago IL Midway Arpt 5s 1/2022	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
20	Clark Cnty Nev 5s 7/2021	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$2,501 - \$5,000
21	Delaware Trans Au 5s 7/2021	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$1,001 - \$2,500
22	District of Columbia 5s 6/2019	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
23	Florida State Board of Ed 5s 6/2019	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
24	Georgia St 4s 5/2029	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
25	Harris Cnty TX Met Tran 5s 11/2017	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$201 - \$1,000
26	Higher Ed GO 4.5 8/2019	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$201 - \$1,000
27	Honolulu HI GO 5.25s 7/2019	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
28	Kentucky PPTY BLD 5s 8/2018	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
29	Louisiana St Univ & Agr Rev 4s 7/2019	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
30	MASS GO 5.5s 10/2018	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$201 - \$1,000
31	Mississippi St 5s 10/2017	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Missouri St Health & Ed 5s 6/2020	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$1,001 - \$2,500
33	NJ Eco DV UTH Sch Facs 5s 3/2023	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$5,001 - \$15,000
34	Pennsylvania St 5s 7/2019	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
35	Queen Creek AZ 5s 8/2021	N/A	\$100,001 - \$250,000	Capital Gains Interest	None (or less than \$201)
36	Rhode Island Health & Ed 5s 9/2021	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
37	Richland Co Sc Sch 5s 3/2020	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
38	Sante Fe Cnty NM 5s 6/2022	N/A	\$50,001 - \$100,000	Capital Gains Interest	None (or less than \$201)
39	Tacoma WA Elec Sys 4s 1/2018	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$1,001 - \$2,500
40	Trinity RVR Auth TX 5s 8/2020	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$1,001 - \$2,500
41	Univ CO Enterprise 5s 6/2020	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$201 - \$1,000
42	Wisconsin St Clean Wtr 5s 6/2018	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$201 - \$1,000
43	US Bank Accounts #2 (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
44	Oracle Corp	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
45	Progressive Corp Ohio	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	Unilever ADR	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$15,001 - \$50,000
47	Alphabet Inc CL A	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
48	Alphabet Inc CL C	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
49	Amazon	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$50,001 - \$100,000
50	Amgen	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
51	Apache Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
52	AT&T	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
53	Charter Communications New CL A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
54	Comcast New CL A	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
55	Ecolab	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
56	Express Scripts	N/A	\$15,001 - \$50,000	Dividends Capital Gains	None (or less than \$201)
57	FedEx	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
58	Fortune Brands Home & Sec	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
59	Microchip Technology	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
60	Pfizer	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
61	Symantec	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
62	Time Warner Inc New	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
63	SPDR S&P 500	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
64	US Treasury TINT	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
65	Longleaf Partners Fund	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
66	U.S. Bank account #3 (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
67	Loews Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
68	Microchip Technology Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
69	Vanguard 500 Index	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
70	Vanguard Total International Stock Index	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
71	Vanguard Total Bond Market Index (529 #1)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
72	Vanguard Short-Term Invest-Grade (529 #1)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
73	Vanguard Short-Term Bond Index (529 #1)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
74	Vanguard Total Bond Market Index (529 #2)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
75	Vanguard Short-Term Invest-Grade (529 #2)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
76	Vanguard Short-Term Bond Index (529 #2)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
77	Progressive Corp Ohio	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
78	XL Group Plc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
79	SPDR S&P 500 ETF	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
80	U.S. Bank Account #4 (cash)	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
81	Baltimore MD Proj WTR SR E RV	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
82	Maine Health & Higher ED SR A	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
83	MET Govt Nashville&David BE	N/A	\$100,001 - \$250,000	Capital Gains Interest	None (or less than \$201)
84	New Hampshire BK SR C RV	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
85	Port Auth NY & NJ Rev AMT	N/A	None (or less than \$1,001)	Capital Gains Interest	\$2,501 - \$5,000

## 7. Transactions

(N/A) - Not required for this type of report

---

8. Liabilities

None

---

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

---

Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---